



PATENT

Attorney Docket No. MTI-31470

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Zheng, Lingyi A.
Serial No. : 09/912,041
Filing Date : July 24, 2001
Examiner : KENNEDY, Jennifer M.
Group Art Unit: 2812
Confirmation No.: 4539
For : Improved Cell Nitride Nucleation on Insulative Layers and Reduced Corner Leakage of Container Capacitors

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

Mailing

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 CFR 1.8(a)

37 CFR 1.10

- ☐ with sufficient postage as first class mail ☒ As "Express Mail Post Office to Addressee" Mailing Label No. EL609566939US

Transmission

- ☐ transmitted by facsimile to Fax No _____ addressed to Examiner _____ at the Patent and Trademark Office.

Date:

August 22, 2002

Jim L. Houk

Assistant Commissioner for Patents
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is: Response to Restriction Requirement and Preliminary Amendment; replacement claims; and blacklined claims

STATUS

2. Applicant is other than a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

- a. [] Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

TECHNOLOGY CENTER 2800

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	<u>Total months Requested</u>	<u>Fee for other than Small Entity</u>	<u>Fee for Small Entity</u>
[]	one month	\$110.00	\$ 55.00
[]	two months	\$400.00	\$195.00
[]	three months	\$890.00	\$445.00
[]	four months	\$1,390.00	\$695.00

Fee \$ _____

If an additional extension of time is required please consider this a petition therefor.

- a. [] An extension for __ months has already been secured and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

OR

- b. [X] Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

Extension fee due with this request \$ 0.00

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee or	Rate	Addit. Fee
Total	103	Minus 124 =	0	x9= \$	x18=	\$ 0.00
Indep.	24	Minus 19 =	5	x42= \$	x84=	\$420.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+140=\$	+280=	\$0
				TOTAL ADDIT. FEE \$	or TOTAL ADDIT. FEE	\$420.00

- c. [] No additional fee for claims is required.

OR

- d. [X] Total additional fee for claims required \$420.00.

FEE PAYMENT


5. Attached is a check in the sum of \$420.00.

FEE DEFICIENCY

6. If any additional extension and/or fee is required, charge Account No. 23-2053.
If any additional fee for claims is required, charge Account No. 23-2053.

Reg. No. 34259
Tel. No.: (414) 273-2100

Date: AUGUST 22, 2002
Customer No.: 31870


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